

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

RANA, Tariq M. et al.

Serial No.: 09/972,016

Filed: October 4, 2001

For: SITE-SPECIFIC PROTEIN
MODIFICATION



Group Art Unit: 1645

Examiner: Not Yet Assigned

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TRANSMITTAL

Assistant Commissioner for Patents
Washington, D.C. 20231

Sir:

Applicant submits the following documents with this Transmittal Letter.

- (1) Supplementary Information Disclosure Statement;
- (2) Form PTO-1449; and
- (3) References AA through AG.

LYON & LYON LLP

Dated: 2/12/02

By: Sandra S. Fujiyama

Reg. No. 46,713

Library Tower
633 West Fifth Street, Suite 4700
Los Angeles, California 90071-2066
(213) 489-1600

LA-227142.1

CERTIFICATE OF MAILING 37 C.F.R. §1.8a)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

February 12, 2002
Date of Deposit

Rachel Marquez
Name of Person Mailing Paper

Rachel Marquez
Signature of Person Mailing Paper

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SUPPLEMENTARY INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents
Washington, D.C. 20231

Sir:

In accordance with 37 CFR §§ 1.97 and 1.98, the items identified in this Information Disclosure Statement ("IDS") are brought to the attention of the Office. The items are listed on the attached form PTO-1449 and copies are enclosed for the convenience of the Examiner.

The items identified in this IDS may or may not be "material" pursuant to 37 CFR § 1.56. The submission thereof by Applicants is not to be construed as an admission that any such patent, publication or other information referred to therein is material or considered to be material (37 CFR § 1.97(h)), or even qualifies as "prior art" under 35 USC § 102 with respect to this invention unless specifically designated by Applicants as such.

INFORMATION DISCLOSURE STATEMENT FILING PROVISION:

This IDS is believed to be timely in that it is being submitted under 37 CFR § 1.97(b), that is (1) within three months of the filing date of the application, which is not a continued prosecution application filed under § 1.53(d); or (2) within three months of entry of the national stage as set forth in 37 CFR §

LA-227081.1

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1.491; or (3) before the mailing of a first Office action on the merits; or (4) before the mailing of a first Office action after filing a request for continued examination under § 1.114. Thus, no fee is required.

However, if the undersigned is in error in this regard, Applicant respectfully requests that the Office consider this IDS as filed under 37 CFR § 1.97(c), if applicable, and a statement under 37 CFR § 1.97(e) is included below, thus no fee is required.

STATEMENT UNDER 37 CFR § 1.97(e):

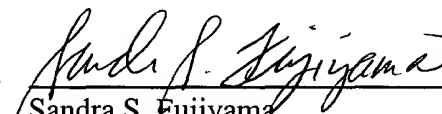
Each item contained in this IDS was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS.

PAYMENT AND/OR AUTHORIZATION TO CHARGE FEES:

The Commissioner is authorized to charge any fees required by the filing of these papers, and to credit any overpayment to Lyon & Lyon's Deposit Account No. **12-2475**.

Respectfully submitted,
LYON & LYON LLP

Dated: 2/12/02

By: 
Sandra S. Fujiyama
Reg. No. 46,713

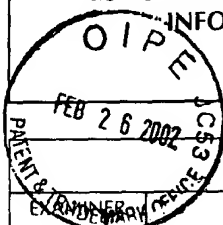


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PATENT TRADEMARK
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FORM PTO-1449		ATTY. DCK NO. 267/302	SERIAL NO. 09/972,016
LIST OF PATENTS AND OTHER ITEMS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT (Use several sheets if necessary)		APPLICANT: RANA, Tariq M. et al.	
		FILING DATE: October 4, 2001	GROUP: 1645



U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE
	AA	3,714,140	1/30/73	Sipos et al.	260	112.5	3/16/71
	AB	3,715,434	2/6/73	Mende et al.	424	177	4/7/70
	AC	3,875,207	4/1/75	Iselin et al.	260	471	3/30/72
	AD	3,944,538	3/16/76	Bodanszky et al.	260	112.5	10/2/73
	AE	4,568,640	2/4/86	Rubin et al.	C12P	21/02	3/21/83
	AF	5,945,283	8/31/99	Kwok et al.	C12Q	1/68	12/17/96
	AG	5,958,700	9/28/99	Nadeau et al.	C12Q	1/68	1/26/99
	AH						

FOREIGN PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB CLASS	TRANSLATION YES NO
	AI						
	AJ						
	AK						

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)		
	AL	
	AM	
	AN	
	AO	
	AP	

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EXAMINER:	DATE CONSIDERED:
EXAMINER: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include a copy of this form with next communication to applicant	